



Georgia Department of Human Services
Division of Child Support Services

Federal Data Reliability Audit (DRA) Update

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Division of Child Support Services

Vision, Mission and Core Values

Vision

Stronger Families for a Stronger Georgia.

Mission

Strengthen Georgia by providing Individuals and Families access to services that promote self-sufficiency, independence, and protect Georgia's vulnerable children and adults.

Core Values

- Provide access to resources that offer support and empower Georgians and their families.
- Deliver services professionally and treat all clients with dignity and respect.
- Manage business operations effectively and efficiently by aligning resources across the agency.
- **Promote accountability, transparency and quality in all services we deliver and programs we administer.**
- Develop our employees at all levels of the agency.



DCSS Core Values

- Put Children First
- Children need both parents
- Customer Interaction is an opportunity
- Employees are valuable resources



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Federal Reporting Requirements

- States must report IV-D (child support) performance on three federal reports to the Office of Child Support Enforcement (OCSE) during the Federal Fiscal year:
 - **OCSE 157 report (Annual Performance)**
 - **OCSE 34A report (Quarterly Collections)**
 - **OCSE 396 report (Quarterly Expenditures)**
- Federal incentive awards are based on the OCSE 157 performance report
- Performance percentages are calculated as ratios on eight (8) lines of the OCSE 157 report
- The awards process is competitive among all states and is based on a sliding scale



DCSS' FFY 16/17 Performance

Performance Area	Performance Calculations	Federal Benchmarks	DCSS' FFY 17 Performance Goals	DCSS' FFY 16 Performance Goals	DCSS' FFY 16 Actual Performance
Current Support Collections	<u>Total current child support collected</u> Total current child support due	80%	62.3%	62%	61.25%
Arrears Collections	<u>Total #cases with at least one arrears payment collected</u> Total #cases with arrears owed	80%	66.7%	65%	65.56%
Paternities Established	<u>Number of children requiring paternity determination</u> Total number of children with paternities established	90%	91.2%	91%	93.92%
Support Orders obtained	<u>Total cases with support orders</u> Total cases open in child support caseload	80%	90.6%	91%	89.36%
Cost Effectiveness	<u>Total amount of IV-D support collected and disbursed or retained, as applicable during the fiscal year</u> Total amount expended during the fiscal year	> \$5.00	NA	NA	8.37%

Items in red indicate opportunity areas for improvement



Federal Audit Process

45 CFR 305.60(a) prescribes... “OCSE will conduct audits, at least once every three years (or more frequently if the State fails to meet performance standards and reliability of data requirements) to assess the completeness, authenticity, reliability, accuracy and security of data and the systems used to process the data in calculating performance indicators under this part”

The Office of Child Support Enforcement...

- ✓ *Initiates and conducts independent audits and/or reviews*
- ✓ *Uses Data Reliability Audits (DRAs) to assess the degree of accuracy and reliability of states' performance data*
- ✓ *Initiates DRAs to determine amount of earned incentive dollars states keep*
- ✓ *Follows a triennial audit schedule; may audit more frequently*
- ✓ *Audits states on the eight (8) incentive lines of the federal 157 report; level of risk not to fall below 95% efficiency rating on either line*
- ✓ *Extracts random case samples from the federal 157 audit trails; approximately 250 cases selected for review by auditors*

Incentive funding is impacted by < 1% of total child support caseload



Federal DRA Audit Trails

Line 1: **Cases Open at the end of the FFY**
(What gave DCSS authority to work the case)

Line 2: **Cases with Support Orders**
(Copies of all underlying/contempt orders in effect during the FFY)

Line 8: **Children BOW during the previous year**
(Evidence to support births out of wedlock)

Line 9: **Cases with paternities established during the FFY** *(Paternities established by DCSS, Vital Statistics, and Private Actions)*

Line 24: **Current Support due during FFY**
(Total current Support Order Amounts in effect on all cases)

Line 25: **Current Support collected/distributed**
(Total payments credited towards current support for each month)

Line 28: **Cases with arrears due during the FFY**

Line 29: **Cases paying towards arrears due FFY**
(Cases with an arrears collection, amounts not reported here)



DCSS' DRA Performance

Reporting (Audit) Area	Federally Required Efficiency Rating	DCSS' FFY 15 Ratings	DCSS' FFY 14 Ratings
Line 1: Cases Open at the End of the Fiscal Year	95%	100%	100%
Line 2: Cases Open at the End of the Fiscal Year With Support Orders Established	95%	99%	100%
Line 8: Children in the State Born Out-of-Wedlock During the Current Year (Statewide)	95%	100%	100%
Line 9: Children in the State With Paternity Established or Acknowledged During the Year (Statewide)	95%	100%	96%
Line 24: Total Amount of Current Support Due for the Fiscal Year	95%	99%	91%*
Line 25: Total Amount of Support Distributed as Current Support During the Fiscal Year	95%	98%	96%
Line 28: Cases With Arrears Due During the Fiscal Year	95%	99%	93%*
Line 29: Cases Paying Toward Arrearages During the Fiscal Year	95%	100%	100%

Percentages in **red** indicate marginal passing as ratings occurred within OCSE's margin of error



Summary

- OCSE initiates an independent audit of IV-D agencies' 157 report submissions to assess degree of data accuracy and reliability
- Compliance measured on eight (8) lines of the federal 157 report
- DCSS submits evidence on cases randomly selected to support data entered on the federal 157 report
- States must achieve a 95% accuracy rating on each of the audited lines for the sample cases selected
- Georgia DCSS exceeded the required 95% standard on each of the audited lines for FFY 2015
- This accomplishment protects the federal incentive dollars earned by DCSS which ensures critical funding is provided in key areas, further allowing the agency to continue its efforts towards building stronger families for a stronger Georgia



Questions?

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Additional regulatory references: Social Security Act sections 402(a)(27), 452(a)(4) and 403(h), and 45 CFR §305.60

