

## RCCL State Enforcement Matrix

In Accordance with 290-1-6 Enforcement of Licensing Requirements

<p><b>Category I Violations</b></p> <p>Violation which has caused death or serious physical or emotional harm or poses an imminent and serious threat to the physical or emotional health and safety of one or more persons in care</p>	<p><b>J</b></p> <p>PoC + Fine (at low end of allowable) per violation*</p> <p>Consider other sanction options</p>	<p><b>K</b></p> <p>PoC + Fine (at middle of allowable) per violation*</p> <p>Consider other sanction options</p>	<p><b>L</b></p> <p>PoC + Fine (at highest allowable) per violation*</p> <p>Consider other sanction options</p>
<p><b>Category II Violations</b></p> <p>Violation which has direct adverse effect on the physical or emotional health and safety of a person or persons in care</p>	<p><b>G</b></p> <p>PoC + Fine (at low end of allowable) per violation*</p> <p>Consider other sanction options</p>	<p><b>H</b></p> <p>PoC + Fine (at middle of allowable) per violation*</p> <p>Consider other sanction options</p>	<p><b>I</b></p> <p>PoC + Fine (at highest allowable) per violation*</p> <p>Consider other sanction options</p>
<p><b>Category III Violations</b></p> <p>Violation which indirectly or over a period of time has or is likely to have an adverse effect on the physical or emotional health and safety of a person or persons in care OR violation of administrative, reporting, or notice requirements.</p> <p>Follow-up required for E level or higher</p>	<p><b>D</b></p> <p>PoC</p>	<p><b>E</b></p> <p>PoC + Options</p>	<p><b>F</b></p> <p>PoC + Fine</p> <p>Consider other sanction options</p>
<p><b>Substantial Compliance</b></p> <p>No follow-up required.</p> <p>No enforcement actions if these are the only violations.</p>	<p><b>A</b></p> <p>No PoC Required</p>	<p><b>B</b></p> <p>PoC</p>	<p><b>C</b></p> <p>PoC</p>
<p><b>Severity Level</b></p>	<p><b>Initial</b></p>	<p><b>Subsequent</b></p>	<p><b>Repeat</b></p>

### Sanction Options:

- Imposition of fine (lowest allowable for initial, middle of allowable range for subsequent, highest allowable for repeat violations) per incident\* or per day
- Public reprimand
- Suspension of license
- Prohibition of persons in control
- Revocation of license
- Denial of application or renewal of license
- Emergency relocation of residents
- Monitoring Visits

\*Multiple violations resulting from the same incidents or actions are not charged per violation, but they are charged at the highest category for which a violation is cited as a result of that incident or action.